IN THE UNITED STATE PATENT AND TRADEMARK OFFICE

COMBINED DECLARATION AND POWER OF ATTORNEY FOR UTILITY PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

APPARATUS FOR AND METHOD OF MAC BASED TRANSMISSION IN WDM OPTICAL RING NETWORKS

X the specification of w	hich is attached here	to.			
was filed on Application Number	as United States and was ame	Application ended on	Number (if appl	or PCT icable).	International
I hereby state that I have specification, including the					
I acknowledge the duty to o 37 CFR 1.56 a copy of whi			erial to pa	atentability	as defined in
I hereby claim foreign pricapplication(s) for patent application which designa listed below and have also patent or inventor's certification that of the application in the application	or inventor's certi ted at least one cou identified below, by cate, or of any PCT	ficate, or 36 ntry other that y checking the international	5(a) of in the Un box, any	any PCT lited States y foreign a	international s of America, pplication for
Prior Foreign Application Number(s)	Country	Foreign Filir	ng Date		
I hereby claim the bene application(s) listed below		C. 119(e) of	any Un	ited State	s provisional
Application Number(s)) Filing	Date			

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this applications is not disclosed in the prior United States or PCT international application in the manner provided by the first

paragraph of 35 U.S.C. 112, I acknowledge that duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national of PCT international filing date of this application.

U.S. Parent Application or PCT Number	Parent Filing Date	Parent Patent Number (if applicable)

As a named inventor, I hereby appoint the following registered attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected herewith:

	Registration		Registration
Name	Number	Name	Number
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Paul Fields	20,298	Peter C. Schechter	31,662
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Signature of First or Sole Inventor: 🗴 Name:

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Egal Lichtman Date: x 28/Jan/2001

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TITLE 37 CODE OF FEDERAL REGULATIONS §1.56

DUTY TO DISCLOSE INFORMATION MATERIAL TO PATENTABILITY

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98.1 However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
 - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
 - (1) it establishes, by itself or in combination with other information, a prima fade case of unpatentability of a claim; or
 - (2) it refutes, or is inconsistent with, a position the applicant takes in:
 - (i) opposing an argument of unpatentability relied on by the Office; or
 - (ii) asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) each inventor named in the application;
 - (2) each attorney or agent who prepares or prosecutes the application; and
 - (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

¹ §§1.97(b)-(d) and 1.98 relate to the timing and manner in which information is to be submitted to the Office.